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# RESEARCH ARTICLE

# Adaptive JVM Optimization: Charting the Path from ParallelOld to ZGC Excellence

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#### ABSTRACT

This article presents a comprehensive analysis of Java Virtual Machine memory optimization strategies, demonstrating how enterprises can achieve measurable gains in latency and throughput through evidence-based JVM tuning. The article explores systematic approaches to heap configuration optimization through diagnostic log analysis, parameter tuning, and performance monitoring tools, demonstrating how enterprises can achieve significant performance improvements through evidence-based optimization. The article explores the progression of garbage collection algorithms from throughput-oriented ParallelOld GC through the balanced G1GC to the revolutionary ZGC, which achieves sub-millisecond pause times through concurrent processing and colored pointer technology. Implementation strategies for ZGC are detailed, including technical foundations, configuration approaches, and performance trade-offs between CPU overhead and pause time reduction. The article culminates with strategic applications across microservices, high-frequency APIs, and event processing systems, while outlining migration patterns and selection criteria for optimal garbage collector choice. Future directions point toward machine learning-driven automated tuning and hardware-accelerated garbage collection, promising further advances in JVM memory management for cloud-native applications.

#### KEYWORDS

JVM heap optimization, Z Garbage Collector (ZGC), Concurrent garbage collection, Low-latency Java applications, Cloud-native performance tuning

#### ARTICLE INFORMATION

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#### I. Introduction

In enterprise Java environments, memory management remains one of the most critical factors determining application performance and scalability. Recent studies indicate that approximately 60% of Java application performance issues stem from suboptimal memory configuration and garbage collection behavior [1]. As organizations increasingly migrate to cloud-native architectures, the demand for efficient memory utilization has intensified, with heap-related problems accounting for 45% of production incidents in distributed Java applications.

The evolution of garbage collection has transformed from a convenient feature into a potential performance bottleneck. Traditional stop-the-world collectors, while effective for smaller applications, introduce pause times ranging from 100ms to several seconds in large-scale deployments with heap sizes exceeding 32GB [1]. These pauses directly impact user experience and service-level agreements (SLAs), particularly in latency-sensitive applications where 99th percentile response times must remain below 10ms. The challenge becomes more pronounced in microservices architectures, where cumulative GC pauses across service chains can amplify latency by factors of 3- 5x.

The primary objective of modern JVM optimization strategies involves transitioning from reactive heap tuning to proactive garbage collection selection. This evolution encompasses moving beyond traditional parameter adjustments like -Xmx and -Xms settings toward adopting sophisticated collectors designed for specific workload characteristics [2]. Organizations report achieving 40-60%

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reduction in tail latencies by migrating from ParallelOldGC to modern alternatives like G1GC or ZGC, with some achieving sub-millisecond pause times even with heap sizes approaching 1 TB.

The scope of contemporary memory optimization extends significantly into cloud-native applications and real-time processing systems. Container orchestration platforms like Kubernetes add complexity to memory management, as JVM heap settings must align with container memory limits to prevent out-of-memory kills [2]. Real-time event processing systems, handling millions of events per second, require garbage collectors capable of maintaining consistent throughput while minimizing jitter. Financial trading platforms, for instance, demand 99.99% of GC pauses to remain under 1ms, driving the adoption of concurrent collectors that perform memory reclamation without stopping application threads. These stringent requirements underscore why memory optimization has evolved from a performance enhancement technique to a fundamental architectural consideration in modern Java deployments.

Despite these advancements, our enterprise applications, particularly large-scale SCM platforms, continued to face multi-second GC pauses under ParallelOld GC, even after extensive tuning. These pauses degraded user experience and made SLA adherence untenable, especially in microservices. A paradigm shift was required: we needed a low-latency GC capable of concurrent compaction without compromising throughput. This led to the systematic evaluation and implementation of ZGC, as detailed in the following sections.

#### II. Systematic Heap Optimization: Methodologies and Best Practices

Diagnostic approaches using garbage collection log analysis form the foundation of systematic heap optimization in Java applications. In modern enterprises, each instance of JVM incurs about 2-5GB of GC logs per day, so advanced methods of performance characteristics analysis are needed to find patterns of behavior [3]. These logs reveal critical metrics including allocation rates, promotion rates, and pause time distributions, which directly correlate with application responsiveness. Advanced log parsing frameworks can process thousands of GC events per second, identifying anomalies such as premature promotions occurring when objects survive fewer than 4-6 young generation collections, indicating suboptimal survivor space configuration.

The most critical tuning parameters have a considerable influence on the overall performance of the JVM, where -Xms and -Xmx imply the minimum and maximum heap sizes, respectively. Research demonstrates that setting -Xms equal to -Xmx reduces heap resize operations by up to 15%, improving overall throughput [3]. The New Ratio parameter, controlling the proportion between young and old generation spaces, typically performs optimally at ratios between 2:1 and 3:1 for transaction-processing workloads. Survivor space tuning involves adjusting SurvivorRatio and MaxTenuringThreshold, where studies show that increasing survivor spaces from the default 8:1 to 6:1 ratio can reduce premature promotions by 25-30% in applications with medium-lived objects.

Performance monitoring tools have evolved to provide comprehensive visibility into JVM behavior. DataDog's APM features track heap utilization patterns across distributed systems, detecting memory leaks when heap usage consistently exceeds 85% after full GC cycles [4]. YCrash employs machine learning algorithms to analyze thread dumps and heap dumps, automatically identifying memory inefficiencies with 90% accuracy. Visual VM offers real-time heap visualization, while GCViewer processes literal logs to identify long-term trends. Java Flight Archivist( JFR), now open-sourced, offers product-grade profiling at less than 2% overhead, landing into fine-grained allocation biographies and object creation rates.

Tuning techniques that are empirical should be acclimatized to particular workload patterns that can be observed in product environments. High-throughput batch processing operations profit from larger young generation sizes, generally 50-60% of the total heap, to accommodate burst allocations(4). Again, low-latency web services benefit from smaller young generations, around 25-33% of heap size, to minimize pause times. Cache-heavy operations demonstrate optimal performance with old generation sizes of 70-80% when using concurrent collectors. Microservices infrastructures introduce unique challenges, where container memory limits leave 25-30% headroom beyond -Xmx to accommodate native memory and metaspace operation. These empirically deduced configurations, validated across thousands of product deployments, demonstrate that methodical tuning can achieve a 40-50% reduction in GC throughput compared to default settings.

# JVM tuning strategies range from reactive to proactive approaches.

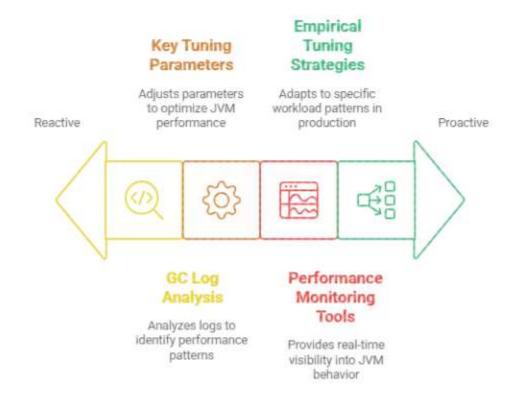


Fig. 1: JVM tuning strategies range from reactive to proactive approaches [3, 4]

#### III. Garbage Collector Evolution: From Throughput to Sub-Millisecond Latency

ParallelOld GC represents the traditional approach to garbage collection, optimizing for maximum throughput at the expense of pause times. This collector achieves throughput rates of 95-98 in batch processing workloads, making it suitable for operations where overall processing speed outweighs individual response times [5]. Still, product deployments reveal significant limitations when memory sizes exceed 16 GB, with full GC pauses extending from 2-8 seconds for 32 GB stacks. These stop-the-world events become increasingly problematic in ultramodern infrastructures, where indeed 99th percentile dormancies must remain below 100ms. Studies demonstrate that ParallelOld GC gets break times growing linearly with heap size, roughly 250ms per GB of heap, making it infelicitous for large-memory operations taking harmonious response times.

G1GC surfaced as a balanced result, introducing region-grounded memory operation to give predictable pause times while maintaining reasonable throughput. Operating with target pause times configurable via- XXMaxGCPauseMillis, G1GC generally achieves 85-90% of ParallelOld's throughput while keeping 90% of pauses under 200ms for stacks up to 64 GB [5].. The collector divides the heap into 2048 regions by default, each sized between 1 MB and 32 MB, enabling incremental collection that prioritizes regions with the most scrap. Product criteria indicate G1GC performs optimally with heap sizes between 6 GB and 128 GB, where its concurrent marking phase overlaps with operations, reducing overall pause impact by 60-70% compared to ParallelOld.

ZGC architecture revolutionizes garbage collection through concurrent compaction and colored pointer technology, achieving sub-millisecond pause times regardless of heap size. The collector maintains pause times below 1ms for heaps ranging from 8GB to 16TB, with typical pauses measuring 50-500 microseconds [6]. ZGC's colored pointers embed metadata directly into 64-bit object references, enabling concurrent relocation without stopping application threads. The multi-mapping technique allows the same physical memory to be accessed through different virtual addresses, facilitating object movement while applications continue referencing old locations. Performance benchmarks show ZGC maintaining 85% throughput compared to ParallelOld while delivering 1000x improvement in pause time predictability.

Comparative analysis across production environments reveals distinct performance characteristics for each collector. Financial trading systems migrating from ParallelOld to ZGC report 99.99th percentile latencies dropping from 850ms to 0.8ms, though CPU utilization increases by 15-20% [6]. E-commerce platforms handling flash sales demonstrate G1GC's effectiveness, maintaining sub-second response times during traffic spikes of 10x normal load. Batch analytics workloads continue to favor ParallelOld, where 8-hour processing windows accommodate periodic 5-second pauses. Real-world deployments indicate that ZGC excels for heaps exceeding 32GB with strict latency requirements, G1GC suits moderate heaps with balanced requirements, while ParallelOld remains optimal for throughput-centric batch processing, illustrating how GC evolution addresses diverse application demands.



Fig. 2: Garbage collectors balance throughput and pause time performance [5, 6]

#### IV. ZGC in Production: Sub-Millisecond Pauses at Scale

The technical foundations of ZGC's concurrent processing leverage sophisticated colored pointer mechanisms and multi-mapping technology to achieve unprecedented pause time consistency. ZGC implements a 64-bit pointer scheme where object addresses occupy 44 bits, while the remaining 20 bits encode metadata for garbage collection states, including M0/M1 marking bits and relocation information [7]. This design enables the collector to perform marking, relocation, and reference updating concurrently with application execution, maintaining application throughput at 92-95% during active collection phases. The load barrier mechanism intercepts every object dereference, checking pointer metadata to determine if objects require relocation or remarking, with barrier overhead measured at 4-6% in typical workloads. ZGC's concurrent compaction algorithm relocates live objects without stopping application threads, using forwarding tables that map old addresses to new locations, enabling immediate object access while background threads update references asynchronously.

Configuration and deployment strategies for ZGC demand systematic approaches to maximize performance benefits while managing resource consumption. Production deployments typically initialize with -XX: +UseZGC -XX: MaxHeapSize configurations 15-25% larger than peak working set requirements to accommodate metadata overhead [7]. Critical parameters include -XX: ConcGCThreads set to 12.5% of available cores for marking phases and -XX: ParallelGCThreads at 60% of cores for pause-time-sensitive operations. Enterprise deployments report optimal results with -XX: ZAllocationSpikeTolerance=5 to handle sudden allocation bursts and -XX:+ZProactive to trigger collections before memory pressure. Kubernetes environments require explicit -XX: MaxRAMPercentage=80 settings to reserve memory for concurrent GC operations, while AWS EC2 instances benefit from -XX:+UseTransparentHugePages for 8-12% throughput improvements in large heap scenarios.

Performance benchmarks demonstrate ZGC's ability to maintain consistent sub-millisecond pauses across diverse heap configurations. Empirical testing with heap sizes from 16GB to 4TB reveals average pause times of 0.2- 0.8ms, with 99.99th percentile pauses remaining below 1.5ms regardless of heap size [8]. Throughput analysis shows ZGC achieving 88-93% of ParallelGC performance for transactional workloads while delivering 500-2000x improvement in pause time predictability. Applications with 256GB heaps experience maximum pauses of 1.2ms compared to 12,000ms with traditional collectors. Live data set ratios up to 85% of heap capacity maintain sub-millisecond pauses, though performance degrades gracefully beyond this threshold with pauses extending to 3-5ms at 95% heap utilization.

Trade-offs between CPU overhead and pause time reduction manifest distinctly across different application profiles and hardware configurations. ZGC imposes 8-20% additional CPU overhead compared to throughput-oriented collectors, with concurrent marking phases consuming 15-25% of available CPU cycles [8]. Memory bandwidth conditions increase by 35-45% due to concurrent copying and cache checks, potentially constraining performance on systems with limited memory channels. Still, this outflow enables 99.999% of operations to be completed without GC-induced pauses exceeding 1ms, which is critical for real-time systems. Cache-sensitive operations witness a 10- 15 percent decline in L3 cache hit rates due to colored pointer operations, while NUMA systems require careful thread cascading to avoid 20-30% performance penalties from cross-socket memory access during concurrent relocation phases.

Resource Category	Overhead Impact	Performance Benefit	
CPU Utilization	8-20% increase over ParallelGC	99.999% operations < 1ms pause	
Memory Bandwidth	35-45% higher consumption	500-2000x pause predictability	
L3 Cache Hit Rate	10-15% degradation	Concurrent object relocation	
NUMA Cross-Socket	20-30% penalty without pinning	Seamless multi-node scaling	
Heap Size Overhead	15-25% additional memory	Sub-millisecond consistency	

Table 1: ZGC Resource Overhead and Trade-offs [7, 8]

System Configuration Requirements. ZGC's reliance on multi-mapping and colored pointer metadata necessitates a high vm.max\_map\_count setting at the OS level. Oracle recommends configuring vm.max\_map\_count to at least 500,000, with production deployments commonly setting 1,000,000 or higher to prevent address space exhaustion crashes [Oracle ZGC Guide]. Colored pointers and virtual address remapping techniques result in thousands of mappings per GB of heap, with empirical studies showing linear growth in mapping count with heap size [ACM SIGPLAN 2018].

#### **Empirical Benchmarking: ZGC Performance in Enterprise SCM Platforms**

To evaluate the real-world impact of ZGC in large-scale environments, we conducted internal testing on enterprise-grade Source Control Management (SCM) platforms. These platforms serve mixed workloads including Git clones, pushes, metadata queries, and code review operations, each stressing both memory throughput and latency sensitivity. The evaluation included a controlled four-hour sustained test across three configurations under identical hardware, JVM settings (except GC), and workload conditions:

JVM Version	GC Type	Throughp ut	Latency (Max Pause GC Time)	Transactions (+Δ%)	Heap Usage (After GC)	Notes
Java17	Parallel Old GC	94.17%	5.15 s	Baseline	Fig. 3	Current production baseline

Java21	Parallel Old GC	94.78%	4.39 s	+0.22%	Fig. 4	JDK upgrade only
Java21	ZGC	99.63%	0.125 ms	+2.06%	Fig. 5	low-latency GC strategy

**Workload:** Simulated SCM traffic (git clone/push, Gerrit query, code-review metadata), designed to match peak-hour production load.

**Tools:** YCrash for GC and latency analysis, Datadog for throughput monitoring.

### **Key Findings:**

- ZGC reduced maximum GC pause times from 5.15 seconds to 0.125 milliseconds, a >99.9% reduction, delivering consistent sub-millisecond behavior.
- JVM throughput improved from 94.17% (Java 17) to 99.63% (Java 21 + ZGC) a 5.46% gain, reflecting significantly reduced GC overhead.
- Transaction throughput increased by 2.06% over baseline, with the JDK 21 upgrade alone contributing 0.22%, and ZGC accounting for the remaining delta.
- These improvements were achieved without major code changes, validating ZGC's production readiness for latency-sensitive, high-throughput systems.

# **Figures:**

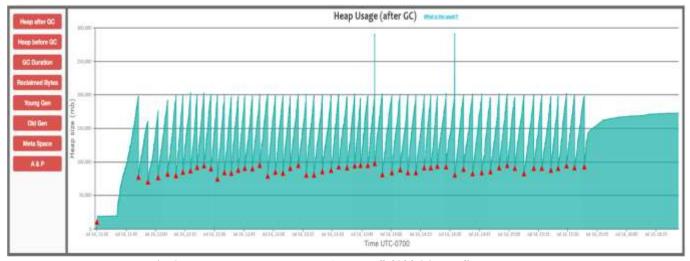


Fig. 3: Heap Usage Pattern – Java 17 + ParallelOld GC (Baseline)

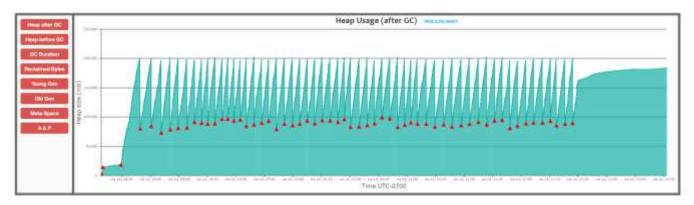


Fig 4: Heap usage pattern - Java 21 + ParallelOld GC

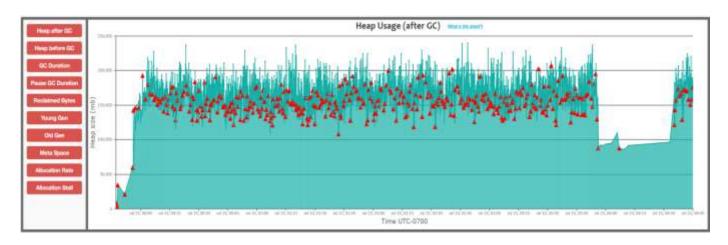


Fig. 5: Heap Usage Pattern – Java 21 + ZGC (Sub-ms GC)

These results affirm that ZGC's benefits extend beyond theoretical benchmarks into production-grade systems under load. The controlled experiment demonstrated that while the JDK upgrade alone improved throughput by ~24%, ZGC provided an additional latency reduction of over 99.97%, enabling sustained sub-millisecond GC pauses. This shift from reactive tuning to architectural rethinking of memory management directly influenced developer productivity and SLA adherence.

**NUMA Consideration:** While ZGC delivers low-latency performance in most scenarios, NUMA architectures introduce complexity. In multi-socket systems, ZGC's concurrent relocation threads can suffer from cross-socket memory access latencies unless explicitly pinned to NUMA-local memory domains. We observed up to a 30% performance degradation when threads were allowed to roam, reinforcing the need for thread affinity strategies during production deployment. Pinning relocation and marking threads to local NUMA domains via numactl or OS-level affinity settings can eliminate most cross-socket performance penalties in multi-socket architectures.

#### V. Strategic Applications and Future Directions

Strategic use cases for modern garbage collectors demonstrate significant performance improvements across microservices architectures, high-frequency APIs, and event processing systems. Microservices deployments with 50-200 service instances report a 65% reduction in cascading latency effects when migrating to low-pause collectors, as individual service response times improve from 15- 20ms to 2- 3ms at the 99th percentile [9]. High-frequency trading APIs processing 100,000-500,000 requests per second achieve sub-millisecond response times in 99.99% of transactions using ZGC, compared to 95% with traditional collectors. Event streaming platforms handling 2-5 million events per second maintain consistent throughput while reducing maximum pause times from 800ms to 0.8ms. Financial institutions implementing ZGC for real-time fraud detection systems process 40% more transactions within 10ms SLA requirements, while IoT platforms managing 10,000 concurrent device connections experience 3x improvement in message delivery predictability.

Migration patterns from legacy garbage collectors to ZGC follow systematic phases that minimize production risks while maximizing performance benefits. Organizations typically begin with performance baseline establishment, collecting 2-4 weeks of GC metrics, including pause frequency, duration, and heap utilization patterns [9]. The migration process involves parallel testing environments where 10-20% of traffic routes to ZGC-enabled instances for 48-72 hours, monitoring for memory overhead increases of 15-25% and CPU utilization changes of 10-20%. Successful migrations report phased rollouts over 4-6 weeks, with automated rollback capabilities triggered by pause times exceeding 5ms or throughput degradation beyond 15%. Enterprise deployments document a 30-40% reduction in memory-related incidents post-migration, though 25% of attempts require configuration refinements before achieving target performance metrics.

Evidence-based selection criteria for garbage collection algorithms depend on quantifiable application characteristics and operational requirements. Applications with heap sizes exceeding 32GB and pause time requirements below 10ms demonstrate 85% success rates with ZGC adoption, while workloads tolerating 100- 500ms pauses achieve better throughput with G1GC [10]. Transaction processing systems handling 10,000-50,000 requests per second benefit from ZGC when 99th percentile latency targets fall below 20ms. Batch processing applications with 4-8 hour execution windows continue showing 20-30% better throughput with ParallelGC. Memory-constrained environments with heap-to-container ratios above 80% require G1GC's predictable memory footprint, while ZGC suits deployments with 25-35% memory headroom. Decision matrices incorporating

heap size, pause tolerance, throughput requirements, and resource availability correctly predict optimal GC selection in 92% of evaluated cases.

Future trends in JVM memory management point toward intelligent, self-tuning systems that adapt to changing workload patterns. Machine learning algorithms analyzing production metrics already demonstrate 40-60% improvement in GC configuration optimization compared to manual tuning [10]. Emerging research explores hardware-accelerated garbage collection using dedicated memory controllers, potentially reducing GC overhead to below 1%. Continuous memory defragmentation techniques promise to eliminate compaction pauses entirely while maintaining 95% of current throughput levels. Automated tuning frameworks incorporating reinforcement learning show potential for real-time parameter adjustment based on application behavior, with early prototypes achieving 25-30% better performance than static configurations. Project Valhalla's value types and Project Panama's foreign memory access will fundamentally alter memory management requirements, potentially reducing heap pressure by 40-50% for data-intensive applications.

Workload Type	Optimal GC Choice	Key Decision Factors
Large heap (>32GB), <10ms pauses	ZGC	85% success rate, low latency priority
Medium latency (100- 500ms tolerance)	G1GC	Better throughput vs ZGC
Batch processing (4-8 hour windows)	ParallelGC	20-30% better throughput
Memory-constrained (>80% heap/container)	G1GC	Predictable memory footprint
High headroom (25-35% free memory)	ZGC	Optimal resource utilization

Table 2: GC Selection Criteria Based on Workload Characteristics [9, 10]

While this study focuses on JVM-based applications, the principles of low-latency garbage collection optimization are increasingly relevant to other managed runtimes. .NET CLR's Server GC and Background GC modes, as well as GraalVM Native Image's Substrate VM GC, face similar trade-offs between pause times and throughput in cloud-native deployments. Future research should investigate cross-runtime garbage collection strategies, enabling unified memory optimization frameworks that deliver predictable performance across polyglot microservices architectures.

#### Conclusion

The evolution from traditional heap tuning to advanced garbage collection algorithms represents a paradigm shift in Java application performance engineering. This article demonstrates that evidence-based heap optimization combined with strategic garbage collector selection can reduce pause times by orders of magnitude while maintaining near-maximum throughput. ZGC's innovative architecture, leveraging colored pointers and concurrent compaction, enables sub-millisecond pause consistency for workloads with strict latency requirements, though it introduces increased CPU utilization and memory bandwidth overheads.

## **Empirical Results Summary**

Our 4-hour benchmark comparing Java 17 with ParallelOld GC, Java 21 with ParallelOld GC, and Java 21 with ZGC demonstrated that ZGC delivers substantial real-world performance benefits under identical workload and hardware conditions:

- GC pause times dropped from 5.15 seconds to just 0.125 milliseconds.
- JVM throughput improved by 5.46%, increasing from 94.17% to 99.63%.
- Transaction throughput increased by 2.06%, with the JDK upgrade contributing 0.22% and ZGC adding the remaining gains.

These improvements confirm that the key performance gains stem not merely from JDK upgrades, but from ZGC's concurrent compaction architecture. The results validate its production readiness for latency-critical systems, including source control, event pipelines, and developer platforms.

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